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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re Application of: Mauro et al.

Serial No.: 09/292,552

Group Art Unit: 2163

Filed: April 15, 1999

Examiner: J. Romain

For: COMPUTER TRADING SYSTEM,  
METHOD, AND INTERFACE

Attorney Docket No.: 9628-006

**RESPONSE TO OFFICIAL ACTION MAILED JANUARY 30, 2001**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant submits the following remarks in response to the Office Action mailed January 30, 2001, in the above identified application.

**REMARKS**

Claims 8-33 and 48-52 are pending in the subject application.

Claims 8-12, 15, 21-25, and 28 stand rejected in the instant Office Action under 35 U.S.C. § 102(e) as being anticipated by U.S. Patent No. 5,873,071, to Ferstenberg et al. ("Ferstenberg"). This rejection is respectfully traversed.

Regarding claims 8 and 21, the Office Action states that:

Ferstenberg discloses a computer program for providing a computer interface facilitating exchanges of financial commodities between a plurality of users "participants" which teaches the claimed limitations "a computer display of orders to buy certain securities at different prices based on the information transmitted over the network by at least some of the participants of the service, and a computer display of offers to sell the certain securities at different prices based on data transmitted over the network by at least some of the participants of the service" by providing a computer program and software for communicating messages "data" to participants of the system over a network (col. 9, lines 47-63 and col. 1, lines 1-9). Ferstenberg further discloses a software for displaying the orders to buy information. Note column 39, lines 54-58 of Ferstenberg.